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BEFORE THE

BOARD OF CHIROPRACTIC EXAMINERS

STATE OF OREGON

| In the Matter of |) Case No. 2003-5013 |
|------------------|-------------------------------|
| DONALD HAYES, |)) FINAL STIPULATED ORDER |
| D.C. Applicant. |) |

The Oregon Board of Chiropractic Examiners (hereinafter "Board" or "OBCE") is the state agency responsible for licensing, regulating and disciplining chiropractic physicians and certified chiropractic assistants in the State of Oregon. Donald Hayes (hereinafter "Applicant"), is an applicant to the Board to practice as a chiropractic physician in Oregon.

of the court will be one or provide the constitution and considered and con-

On June 30, 2003, the OBCE received Applicant's application for a license to practice as a chiropractic physician in Oregon. The application was forwarded to the Oregon Board of Chiropractic Examiners for review at their September 18, 2003 meeting.

2.

On October 6, 2003, the OBCE issued a Notice of Proposed Denial of Licensure to Applicant and stating reasons for the proposed denial therein. On June 11, 2004, a Third Amended Notice of Proposed Denial of Licensure was issued. That notice is hereby incorporated by reference.

On July 2, 1993, Applicant, on behalf of Peninsula Health Care Group entered into a Stipulated Final Judgment whereby the corporation agreed to pay a civil penalty in the amount of \$100,000 pursuant to California Business and Professions Code Sections 17206 and Page 1 – FINAL STIPULATED ORDER HAYES D 026-FINAL STIP ORD

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17536. The Stipulation provided that the judgment shall not constitute an admission of liability by the Corporation or any officer, director or employee thereof.

The California Board of Chiropractic Examiners ("CBCE") proposed to suspend or revoke Applicant's license to practice chiropractic on October 6, 1997. That matter was resolved by a stipulation entered into on June 11, 1998, wherein Applicant agreed to surrender his California chiropractic license and could not re-apply for two years from the effective date of the decision. In the stipulation, Applicant agreed he was subject to disciplinary action and had been convicted of a misdemeanor offense which was substantially related to the qualifications, function and duties of a chiropractor. Applicant agreed he had plead nolo contendere, that he was placed on three years of conditional probation, and that he had referred to himself in literature and advertising at the clinic as "Dr. Hayes," without disclosing to patients and prospective patients that he was not a medical doctor and, instead, a chiropractor, as required by law.

5.

Applicant filed an application with this Board and in that application, Applicant provided an explanation of the criminal conviction referenced above. Subsequently, on May 3, 2004, the criminal conviction was expunged by order of the Superior Court, Monterey County, California.

6.

The Board noticed the Applicant for denial of his application contending the misdemeanor conviction and subsequent surrender of Applicant's California license, in addition to minimizing the conduct in application to the State of Oregon, constitute grounds to deny the

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application for a license to practice as a chiropractic physician in Oregon. In addition, the Board contends that by advertising himself as "Dr. Hayes," the Applicant has perpetrated a fraud upon patients or third party payers relating to the practice of chiropractic, and has directly or indirectly engaged in threatening, dishonest, or misleading fee collection techniques; all of which are asserted to be acts of unprofessional conduct under ORS 684.100(1)(d); ORS 684.040(2)(a);

7.

OAR 811-010-0055(3); and OAR 811-035-0015.

On August 25, 2004, the California Board of Chiropractic reinstated Applicant's license to practice chiropractic in California.

8.

Applicant contends his California misdemeanor conviction has been expunged. and this conviction is not actionable in Oregon. He further contends he did not minimize his conduct in filing the application with the OBCE nor did he perpetrate a fraud upon patients or third party payers relating to the practice of chiropractic, and he has not directly or indirectly engaged in threatening, dishonest, or misleading fee collection techniques. He contends these charges were never proven in California and the prosecution in California was abandoned regarding these claims.

Contentions of Law

9.

The OBCE contends the above-described conduct of Applicant, if proven, would violate ORS 864.100(1)(d); ORS 684.040(2)(a); OAR 811-010-0055(3); and OAR 811-035-0015. Applicant contends no violations of Oregon law have occurred, regardless of what facts the OBCE contends it could prove.

Stipulated Final Order

10.

The parties, having reached a compromise concerning that above;

THEREFORE, pursuant to ORS 183.415(5) and ORS 684.100(9)(e) of the OBCE

orders:

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- 1. The parties have agreed to enter this Final Stipulated Order to resolve the above matter. Applicant waives his right to a hearing and any appeals rights. Applicant has been advised of his right to request a hearing in this matter pursuant to ORS 183.415(2)(a) and to be represented at hearing pursuant to ORS 183.415(3).
- Applicant will be granted a license in Oregon after taking and successfully
 passing a SPEC exam and providing that to the Board.
- 3. Upon the granting of such license, when Applicant begins to practice in the State of Oregon, he will be subject to the following requirements:
 - a. Applicant will be on probation with this Board for a period of five(5) years after he begins practice in Oregon;
 - Applicant cannot practice in any multi-disciplinary practice for the duration of the probation period;
 - c. Applicant is obliged to fully disclose he is a Doctor of Chiropractic (D.C.), not a Medical Doctor (M.D.);
 - d. Applicant is obligated to adhere to and follow the Oregon Doctor's
 Title Act and the Advertising Rules of the OBCE. (ORS 676.110;
 OAR 811-015-0045);

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| e. | Applicant will submit any advertising in Oregon for pre-approval |
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| | by the Board; and |

- f. Applicant will complete ten (10) hours of continuing education on ethics and provide proof to the Board of completion and attendance.
- 4. Immediately upon signature of this Order, Applicant is required to reimburse the Board the sum of \$2,500 for investigatory costs.
- If Applicant violates any of the terms of this Order, the Board may, after
 Notice and Hearing, enter further disciplinary orders.
- 6. This Order is effective on the date signed by the Board.

IT IS SO ORDERED:

Novem ber

DATED this day of September, 2004.

BOARD OF CHIROPRACTIC EXAMINERSState of Orogen

State of Oregon

Original Signatures on file at the OBCE Office

David McTeague, Executive Director Oregon Board of Chiropractic Examiners

Original Signatures on file at the OBCE Office

Donald Hayes, D.C.

| 1 2 | BEFORE THE BOARD OF CHIROPRACTIC EXAMINERS | | | | | | | |
|---|---|--|--|--|--|--|--|--|
| 3 4 | STATE OF OREGON | | | | | | | |
| 5 6 7 8 9 10 11 12 | In the Matter of Case # 2003-5013 Donald Hayes THIRD AMENDED NOTICE OF PROPOSED D.C. Applicant. DENIAL OF LICENSURE | | | | | | | |
| 13 14 | The Owners Pourd of Chiromenatic Examinary (hornester "Doord" or "ODCT") is the state | | | | | | | |
| 15 | The Oregon Board of Chiropractic Examiners (hereafter "Board" or "OBCE") is the state agency responsible for licensing, regulating and disciplining chiropractic physicians and certified | | | | | | | |
| 16 | chiropractic assistants in the State of Oregon. Donald Hayes (hereafter "Applicant"), is an | | | | | | | |
| | | | | | | | | |
| 17 | applicant to the Board to practice as a chiropractic physician in Oregon. | | | | | | | |
| 18 | | | | | | | | |
| 19 | 1. | | | | | | | |
| 20 | On June 30, 2003, the OBCE received Applicant's application for a license to practice as | | | | | | | |
| 21 | a chiropractic physician in Oregon. The application was forwarded to the Oregon Board of | | | | | | | |
| 22 | Chiropractic Examiners for review at their September 18, 2003 meeting. | | | | | | | |
| 23 | 2. | | | | | | | |
| 24 | Applicant was convicted and entered a plea of nolo contendere to a misdemeanor in the | | | | | | | |
| 25 | State of California on July 2, 1993 for violation of the Business and Criminal Professions Code, | | | | | | | |
| 26 | the crime of using the term "doctor" without a license. (Attachment 1 incorporated by | | | | | | | |
| 27 | reference). In addition, applicant agreed to a Final Stipulated Judgment where his medical group | | | | | | | |
| 28 | would pay to the County of Monterey, the sum of \$100,000.00 as civil penalties for violation of | | | | | | | |
| 29 | that law and was placed on probation for a three year period. | | | | | | | |
| 30 | | | | | | | | |
| 31 | | | | | | | | |

2 During the investigation of the criminal matter in paragraph 2, a Complaint for Civil 3 Penalties and Equitable Relief was filed by Monterey County District Attorney Dean D. Flippo. 4 In that Complaint, it alleged they sought to enjoin applicant from engaging in offering and 5 paying of money for compensation for referring patients or clients for treatment of injuries, and 6 from falsely advertising its services solely as a medical health care provider, when in fact, 7 applicant provides exclusively chiropractic care, and from submitting false billing statements to health insurance companies for services not performed and for civil penalties for the violations 8 9 described in that complaint. The complaint further alleged that defendants offered and paid .10 money to its employees and staff for referring patients for treatment for pain and injuries; and that defendants had engaged in unfair competition within the meaning of the Business and 11 12 Professions Code section 17200. That complaint was what lead applicant to plead nolo contendere to the misdemeanor mentioned in paragraph 2.

During the investigation, probable cause statements indicated that Applicant had told staff not to place any information which would reflect the administration of chiropractic care on any of the medical reports or insurance claim forms processed after 9/1/92. Staff indicated that applicant did not want the insurance companies to be aware that care was actually being performed by doctors of chiropractic rather than medical doctors.

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4.

The California Board of Chiropractic Examiners (C.B.C.E.) proposed to suspend or revoke Applicant's license to practice chiropractic on October 6, 1997. (Attachment 2 incorporated by reference) That matter was resolved by a stipulation entered into on June 11, 1998 wherein Applicant agreed to surrender his California chiropractic license and could not apply for two years from the stipulation. In the stipulation, Applicant agreed that he was subject to disciplinary action and had been convicted of a misdemeanor offense which was substantially

related to the qualifications function and duties of a chiropractor. Applicant agreed that he had plead nolo contendere, that he was placed on three years of conditional probation and that he had referred to himself in literature and advertising at the clinic as "Dr. Hayes" without disclosing to patients and prospective patients that he was not a medical doctor and instead a chiropractor as required by law.

5.

In the application filed with this Board, applicant provided an explanation of the criminal conviction information. Applicant stated in his letter of explanation that "they did find a clinic jacket hanging in the closet that violated a business and professions code ruling." Applicant provided no explanation of the criminal charges filed, nor did he provide any information from the California Board as to the surrender of his license. Applicant did not mention that the final order required repayment of a large civil penalty. Through investigation by the Board the final stipulation and CBCE order was obtained. Applicant was attempting to downplay the severity of the criminal charges, the outcome of his criminal plea and the final order taken by the CBCE because of that conduct.

6.

The Board finds the facts as determined in the misdemeanor conviction and subsequent surrender of Applicant's California license in addition to minimizing the conduct in application to the State of Oregon, constitute grounds to deny the application for a license to practice as a chiropractic physician in Oregon. In addition, the Board finds that the underlying investigation and Civil Complaint filed by the Monterey District Attorneys office and the advertising himself as "Dr. Hayes" and by advertising that, that applicant has perpetrated a fraud upon patients or third party payors relating to the practice of chiropractic and has directly or indirectly engaged in threatening, dishonest, or misleading fee collection techniques; all of which are acts of unprofessional conduct under the following provisions:

| 2 | ORS 684.100 (1)(d) |
|----|---|
| 3 | (1) The State Board of Chiropractic Examiners may refuse to grant a license to |
| 4 | any applicant, or may discipline a person upon any of the following grounds: |
| 5 | |
| 6 | (a) Fraud or misrepresentation. |
| 7 | (d) A conviction of a felony or misdemeanor involving moral turpitude. A copy of |
| 8 | the record of conviction, certified to by the clerk of the court entering the |
| 9 | conviction, is conclusive evidence of the conviction. |
| 10 | (s) the suspension or revocation by another state of a license to practice chiropractic, |
| 11 | based upon acts by the licensee similar to acts described in this section. |
| 12 | ORS 684.040 (2)(a) |
| 13 | (2) Each applicant shall furnish to the board: |
| 14 | (a) Evidence satisfactory to the board of the applicant's good moral character. |
| 15 | |
| 16 | OAR 811-010-0055 (3) |
| 17 | (3) The Board may reject applications for good cause including evidence of |
| 18 | unprofessional conduct. |
| 19 | |
| ٠0 | OAR 811-035-0015 Unprofessional Conduct in the Chiropractic Profession |
| 21 | Unprofessional conduct means any unethical, deceptive, or deleterious conduct or |
| 22 | practice harmful to the public; any departure from, or failure to conform to, the minimal |
| 23 | standards of acceptable chiropractic practice; or a willful or careless disregard for the |
| 24 | health, welfare or safety of patients, in any of which cases proof of actual injury need no |
| 25 | be established. Unprofessional conduct shall include, but not be limited to, the following |
| 26 | acts of a chiropractic physician: |
| 27 | (7) Directly or indirectly engaging in threatening, dishonest, or misleading fee collection |
| 28 | techniques; |
| 29 | (12) Perpetrating fraud upon patients or third party payors, relating to the practice of |
| 30 | chiropractic; |
| 31 | 7. |
| 32 | The Board proposes to deny Applicant's application for a license to practice chiropractic |
| 33 | in Oregon. |
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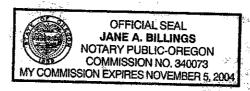
NOTICE OF RIGHT TO A HEARING

Applicant has the right, if Applicant requests, to a hearing as provided by the Administrative procedures Act (ORS Chapter 183) before the Board or its hearing officer to contest the matter set out above. At the hearing, Applicant may be represented by an attorney, and may subpoena and cross-examine witnesses. A request for hearing must be made in writing to the Board, and must be received by the Board within 30 days from the date of mailing of this notice (or if not mailed, the date of personal service), and must be accompanied by a written answer to the charges contained in this Notice. Upon receipt of a request for hearing, the Board will notify Applicant of the time and place of the hearing. If Applicant requests a hearing, Applicant will be given, prior to the commencement of the hearing, information on the procedures, right of representation, and other rights of parties relating to the conduct of the hearing as required by ORS 183.413(2).

Applicant's answer shall be made in writing to the Board and shall include an admission or denial of each factual matter alleged in this Notice, and a short plain statement of each relevant affirmative defense Applicant may have. Except for good cause, factual matters alleged in this notice and not denied in the answer shall be presumed admitted; failure to raise a particular defense in the answer will be considered a waiver of such defense; and new matters alleged in the answer (affirmative defenses) shall be presumed to be denied by the agency, and evidence shall not be taken on any issue not raised in the Notice and answer.

| 1 | 10. | | | | | |
|----------------|---|--|--|--|--|--|
| 2 | If Applicant fails to request a hearing within 30 days, or fails to appear as scheduled at | | | | | |
| 3 | the hearing, the Board may issue a final order by default and impose the above sanctions against | | | | | |
| 4 | Applicant. Upon default order of the Board or failure to appear, the contents of the Board's file | | | | | |
| 5 | regarding the subject of this case automatically becomes part of the evidentiary record of this | | | | | |
| 6 | disciplinary action for the purpose of proving a prima facie case. ORS 183.415(6). | | | | | |
| 7 | | | | | | |
| 8 | 11. | | | | | |
| 9 | The OBCE acknowledges that Applicant has made a timely request for contested case | | | | | |
| 10 | hearing. | | | | | |
| 11 | | | | | | |
| 12 13 | DATED this 11 th day of June, 2004. | | | | | |
| .4 15 16 | BOARD OF CHIROPRACTIC EXAMINERS State of Oregon | | | | | |
| 17 18 19 | Original Signatures on file By: at the OBCE Office | | | | | |
| 20 | Dave McTeague, Executive Director | | | | | |

| - | |
|----------|--|
| 2 | VERIFICATION |
| 3 | |
| 4 | State of Oregon) |
| 5 | County of Marion) Case # 2003-5013 |
| 6 | |
| 7 | I, Dave McTeague, being first duly sworn, state that I |
| 8 | am the Executive Director of the Board of Chiropractic Examiners |
| 9 | of the State of Oregon, and as such, am authorized to verify |
| 10 | pleadings in this case: and that the foregoing Notice is true to |
| 11 | the best of my knowledge as I verily believe. |
| 12 | Original Signatures on file |
| 13 | at the OBCE Office |
| 14 5 | DAVE MCTEAGUE, EXECUTIVE DIRECTOR OREGON BOARD OF CHIROPRACTIC EXAMINERS |
| 16 | OREGON BOARD OF CHIROPRACITE EXAMINERS |
| 17 | SUBSCRIBED AND SWORN to before me |
| | |
| 18 | this day of Oune, 2004. |
| 19 | Original Signatures on file |
| 20 | at the OBCE Office |
| 21 22 | NOTARY PUBLIC FOR OREGON |
| 23 | My Commission Expires: 11-5-04 |
| | |
| 24 | |



| 2 3 | CERTIFICATE OF SERVICE | | | | | | | |
|----------------------------------|---|--|--|--|--|--|--|--|
| 4 | I, Dave McTeague, certify that on June 11, 2004, I served the foregoing Third Amended | | | | | | | |
| 5 | Notice of Proposed Denial upon Donald L. Hayes, Applicant, the party hereto, by mailing, | | | | | | | |
| 6 | certified mail, postage prepaid, and a true, exact and full copy thereof to: | | | | | | | |
| 7 | | | | | | | | |
| 8 9 10 11 | Donald L. Hayes DC 1460 Oak Patch Road Eugene, Oregon 97402 | | | | | | | |
| 12 13 | By regular mail to: | | | | | | | |
| 14 15 16 17 | James Vick, AAL 698 12 th St., Suite 200 Salem, Oregon 97301 | | | | | | | |
| 18 19 | By state interagency mail to: | | | | | | | |
| .0 21 22 23 24 25 | Anthony Behrens ALJ Office of Administrative Hearings 1905 Lana Avenue NE Salem, Oregon 97314 | | | | | | | |
| 26 27 28 29 30 | Original Signatures on file at the OBCE Office | | | | | | | |
| 31 32 33 34 | Dave McTeague Executive Director Oregon Board of Chiropractic Examiners | | | | | | | |

J24148P MONTEREY CJIS ORGANIZATION: MC

SUPERIOR COURT OF CALIFORNIA COUNTY OF MONTEREY

09/17/2003 ' 13:48 PAGE

SALINAS DIVISION

--- CRIMINAL CASE DOCKET ---

CASE NO. MS120309A STATUS: ADJUDICATED

PEOPLE V. HAYES, DONALD L

DOB: 08/06/1949

MONTEREY, CA 93940 Attachment 1 incorporated by reference

ATTORNEYS OF RECORD:

PROSECUTION: NANCY BORDAGARAY

DEFENSE:

CHARGES, PLEAS AND DISPOSITIONS:

COUNT

LATEST

NO. CODE/SECTION

PRS TYPE PLEA

DISPOSITION

1 BP 1000-15 М NOLO PLED NOLO CONTENDERE USING THE WORD DOCTOR OR DR. WITHOUT HAVING A LICENSE



MON' REY COUNTY MUNICIPAL DURT

| | | | | | | | | TY DIVIS | |
|---|-----------------|--|---|--|-------------------------------------|--|---|--|--------------------|
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| PAP | | attorney appo | ointed by | the Court to | en eyem | 1110. | ** | | |
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J24148P

MONTEREY CJIS --- CRIMINAL CASE DOCKET --- ORGANIZATION: MC CASE NO. MS120309A

SALINAS DIVISION

RECORD OF CASE EVENTS:

06291993 08:00 ** OUT OF COURT ENTRIES BY CLERK; IRENE CASTRO AT 8:00 A.M.

LETTER FROM ATTORNEY DISTRICT ATTORNEY EDWARD HAZEL RECEIVED AND FILED, REQUESTING COURT APPEARANCE FOR CHANGE DT OF ARRAIGNMENT.

VACATE MISDEMEANOR ARRAIGNMENT THAT WAS SET ON 07/01/1993 AT 8:30 A.M.

MISDEMEANOR ARRAIGNMENT SET ON 07/02/1993 AT 8:30 A.M. IN MUNICIPAL COURT SALINAS, COURTROOM- 5.

07021993 08:30 ** HEARING HELD ON 07/02/93 AT 8:30 A.M. IN MUNICIPAL COURT SALINAS, COURTROOM- 5. HON. ALAN H. HEDEGARD, PRESIDING. CLERK: SYLVIA CALDERON/S. SHUFELDT. REPORTER: 178/1560.

> NATURE OF PROCEEDINGS: MISDEMEANOR ARRAIGNMENT DEPUTY DISTRICT ATTORNEY NANCY BORDAGARAY APPEARED. DEFENDANT APPEARED WITH COUNSEL NEWHOUSE.

AS YOUR NAME IS CALLED YOU WILL BE ADVISED OF THE CHARGE AGAINST YOU AND YOU WILL BE ASKED IF YOU WISH TO ENTER A PLEA OF GUILTY, NOT GUILTY, NO-CONTEST OR SOME OTHER PLEA (FORMER CONVICTION OR ACQUITTAL, ONCE IN JEOPARDY OR NOT GUILTY BY REASON OF INSANITY) OR IF YOU WISH A CONTINUANCE TO SEE AN ATTORNEY OR TO THINK THE MATTER OVER BEFORE YOU ENTER A PLEA. (1016 & 1017 PC) YOU HAVE THE RIGHT TO BE REPRESENTED BY AN ATTORNEY AND THE COURT WILL GIVE YOU A CONTINUANCE OF UP TO ONE WEEK TO TALK WITH AN ATTORNEY IF YOU WISH. (990 PC) IF YOU ARE NOW IN CUSTODY, YOU HAVE THE RIGHT TO BE RELEASED BY POSTING REASONABLE BAIL (1268-1278 PC) AND YOU HAVE THE RIGHT TO HAVE A HEARING TO DETERMINE IF THERE IS PROBABLE CAUSE TO BELIEVE THAT A CRIME WAS COMMITTED AND IF YOU ARE GUILTY THEREOF. IF YOU ARE CHARGED WITH A MISDEMEANOR AND YOU ARE FINANCIALLY UNABLE TO EMPLOY AN ATTORNEY, THE COURT WILL APPOINT AN ATTORNEY FOR YOU AT YOUR REQUEST. (987 PC) AND UPON THE CONCLUSION OF THE CRIMINAL PROCEEDINGS, THE COURT WILL HOLD A HEARING TO DETERMINE IF YOU HAVE THE THEN PRESENT ABILITY TO REPAY THE COUNTY OF MONTEREY FOR ALL OR PART OF THE COST OF THE SERVICES OF THE COURT APPOINTED ATTORNEY. ANY ORDER THAT THE COURT MAKES WILL HAVE THE SAME FORCE AND EFFECT AS A JUDGMENT IN A CIVIL ACTION AND SHALL BE SUBJECT TO ENFORCEMENT. (987.8 PC) YOU ARE ENTITLED TO A SPEEDY AND PUBLIC JURY OR COURT TRIAL. (1016 PC) IF YOU ARE IN CUSTODY, YOU ARE ENTITLED TO BE TRIED WITHIN 30 DAYS FROM THE DATE OF YOUR ARRAIGNMENT OR ENTRY OF PLEA, WHICHEVER OCCURS LATER. IF YOU ARE NOT IN CUSTODY, YOU ARE ENTITLED TO BE TRIED WITHIN 45 DAYS FROM THE DATE OF YOUR ARRAIGNMENT OR ENTRY OF PLEA, WHICHEVER OCCURS LATER. IF YOU ARE NOT BROUGHT TO TRIAL WITHIN THOSE TIME J24148P

MONTEREY CJIS --- CRIMINAL CASE DOCKET --- ORGANIZATION: MC CASE NO. MS120309A

SALINAS DIVISION

RECORD OF CASE EVENTS:

07021993 - CONTINUED

PERIODS, YOU ARE ENTITLED TO HAVE A MISDEMEANOR OR INFRACTION CHARGE AGAINST YOU DISMISSED UNLESS YOU HAVE WAIVED YOUR RIGHT TO A SPEEDY TRIAL. (1382 PC) YOU HAVE THE RIGHT TO BE CONFRONTED BY THE WITNESSES WHO ACCUSE YOU AND TO CROSS-EXAMINE THEM UNDER OATH. YOU HAVE THE RIGHT TO TESTIFY AT YOUR TRIAL AND YOU HAVE THE RIGHT TO REFUSE TO TESTIFY. (CONSTITUTION) YOU HAVE THE RIGHT TO USE THE SUBPOENA POWER OF THE COURT TO COMPEL THE ATTENDANCE OF WITNESSES ON YOUR BEHALF. YOU HAVE THE RIGHT TO HAVE A PEACE OFFICER SERVE THE SUBPOENA FOR YOU. (1326-1328 PC) YOU HAVE THE RIGHT TO APPEAL THE JUDGMENT OF THIS COURT TO THE SUPERIOR COURT OF THE COUNTY OF MONTEREY. YOU MUST FILE YOUR NOTICE OF APPEAL WITHIN 30 DAYS FROM THE DATE YOU ARE SENTENCED. IF YOU CAN'T AFFORD TO HIRE AN ATTORNEY FOR YOUR APPEAL, THE SUPERIOR COURT WILL APPOINT ONE TO ASSIST YOU. (RULES OF COURT 182 & 250) YOU MAY ASK FOR AN INDICATED SENTENCE BEFORE YOU ENTER A PLEA OF GUILTY OR NO CONTEST, IF YOU WISH, AND FIND OUT EXACTLY WHAT YOUR SENTENCE WILL BE. YOU MAY PLEAD GUILTY AND IMPOSE CONDITIONS THAT LIMIT THE AMOUNT OF JAIL TIME OR FINE THAT MAY BE IMPOSED. THE COURT RESERVES THE RIGHT TO DECIDE IF YOUR CONDITIONAL PLEA WILL BE ACCEPTED. (1192.3 PC) IF YOU PLEAD GUILTY/NO CONTEST YOU GIVE UP: 1. YOUR RIGHT TO AN ATTORNEY EVEN A COURT APPOINTED ONE; 2. YOUR RIGHT TO A JURY OR COURT TRIAL; 3. YOUR RIGHT TO CONFRONT AND CROSS-EXAMINE ALL WITNESSES AGAINST YOU; AND 4. YOUR PRIVILEGE AGAINST SELF INCRIMINATION AND YOUR RIGHT TO REMAIN SILENT. IF YOU PLEAD GUILTY OR NO CONTEST, YOU HAVE THE RIGHT TO A DELAY OF AT LEAST 6 HOURS AND NO MORE THAN 5 DAYS BEFORE SENTENCING MAY BE IMPOSED. YOU MAY WAIVE THAT RIGHT AND ASK TO BE SENTENCED EARLIER OR LATER IF YOU WISH. (1449 PC)

PENALTIES: THE MAXIMUM PENALTY FOR MOST MISDEMEANOR OFFENSES IS 1 YEAR IN THE COUNTY JAIL AND A FINE OF UP TO \$1000 PLUS PENALTY ASSESSMENTS (PENALTY ASSESSMENTS ARE NOW MORE THAN THE FINE ITSELF AND ARE REQUIRED BY STATUTE TO BE ADDED TO THE FINE IMPOSED). YOU MAY BE REQUIRED TO PAY RESTITUTION TO THE VICTIM OR TO THE STATE RESTITUTION FUND ALSO. (1464 PC PLUS NUMEROUS OTHERS) (1203.04 PC) FOR SOME OFFENSES THE COURT MAY ALSO SUSPEND YOUR RIGHT TO DRIVE AND IMPOUND YOUR CAR AT YOUR EXPENSE. YOU MAY BE REQUIRED TO ATTEND AND COMPLETE COUNSELING SESSIONS. IF YOU ARE CONVICTED OF DRIVING UNDER THE INFLUENCE OF ALCOHOL AND HAVE A HIGH BLOOD ALCOHOL LEVEL (.20 OR MORE) OR IF YOU REFUSED TO TAKE A CHEMICAL TEST, YOUR SENTENCE MAY BE ENHANCED OR MADE GREATER PURSUANT TO SECTION 23206.1 OF THE VEHICLE CODE. IF YOU ARE CONVICTED OF DRIVING UNDER THE INFLUENCE OF

J24148P

MONTEREY CJIS --- CRIMINAL CASE DOCKET --- ORGANIZATION: MC CASE NO. MS120309A

SALINAS DIVISION

RECORD OF CASE EVENTS:

07021993 - CONTINUED

ALCOHOL AND HAVE A BLOOD ALCOHOL LEVEL OF DOUBLE THE LEGAL LIMIT OR MORE (.16) YOU SHOULD EXPECT TO BE REQUIRED TO INSTALL AN INTERLOCK DEVICE ON YOUR CAR TO PREVENT YOU FROM STARTING YOUR CAR WITH ANY ALCOHOL IN YOUR BODY. FOR SOME OFFENSES YOU MAY BE REQUIRED TO REGISTER WITH THE SHERIFF OR THE CHIEF OF POLICE. (290 PC, 11590 HS) IF YOU ARE NOT A CITIZEN OF THE UNITED STATES OF AMERICA, YOU ARE HEREBY ADVISED THAT CONVICTION OF THE OFFENSE FOR WHICH YOU HAVE BEEN CHARGED MAY HAVE THE CONSEQUENCE OF DEPORTATION, EXCLUSION FROM ADMISSION TO THE UNITED STATE OF AMERICA, OR DENIAL OF NATURALIZATION PURSUANT TO THE LAWS OF THE UNITED STATE OF AMERICA. (1016.5 PC) UPON SATISFACTORY COMPLETION OF PROBATION OR ONE YEAR AFTER SENTENCING IF NO PROBATION IS IMPOSED, YOU MAY THEN BE ABLE TO WITHDRAW YOUR PLEA OR VERDICT, HAVE A NOT GUILTY PLEA ENTERED, AND HAVE YOUR RECORD CLEARED IN SOME CASES. (1203.4 PC) IF YOU ARE CHARGED ONLY WITH AN INFRACTION, YOUR RIGHTS ARE A LITTLE DIFFERENT THAN THOSE CHARGED WITH A MISDEMEANOR. IF YOU ARE CHARGED ONLY WITH AN INFRACTION, YOU DO NOT HAVE THE RIGHT TO A COURT APPOINTED ATTORNEY AND YOU DO NOT HAVE THE RIGHT TO A JURY TRIAL. YOU DO HAVE THE RIGHT TO BE REPRESENTED BY AN ATTORNEY OF YOUR CHOICE AND YOU HAVE THE RIGHT TO A TRIAL BY JUDGE. YOU CAN NOT BE SENTENCED TO JAIL FOR AN INFRACTION. THE MAXIMUM PENALTY IS A FINE ONLY OF \$250 PLUS PENALTY ASSESSMENTS (19 E PC) IF YOU ARE CHARGED WITH A FELONY, YOU HAVE THE RIGHT TO A PRELIMINARY HEARING WHICH MUST BE HELD WITHIN 10 COURT DAYS OF THE DAY YOU ARE ARRAIGNED OR ENTER A PLEA, WHICHEVER OCCURS LATER. (859 B PC) YOU MAY NOT WAIVE YOUR RIGHT TO A PRELIMINARY HEARING UNLESS YOUR ATTORNEY AGREES TO THE WAIVER. (860 PC) FOR A FELONY CASE, YOU REALLY SHOULD HAVE AN ATTORNEY HELP ADVISE YOU. YOU CAN GO TO PRISON ON A FELONY CASE.

DEFENDANT INFORMED OF THE CHARGE(S) ALLEGED. DEFENDANT ENTERS A PLEA OF NOLO CONTENDERE AS CHARGED. DEFENDANT ORALLY STATES THAT HE HAS READ AND UNDERSTANDS THE ACKNOWLEDGEMENT OF WAIVER OF RIGHTS FORM, AND THE MAXIMUM AND MINIMUM PENALTIES FORM, WHICH HE HAS SIGNED, AND IS INCORPORATED HEREIN.

DEFENDANT WAIVES TIME FOR SENTENCING.

IMPOSITION OF SENTENCE SUSPENDED, AND DEFENDANT PLACED ON PROBATION FOR A PERIOD OF 3 YRS; 0 MOS; 0 DAYS. TYPE OF PROBATION: CONDITIONAL. OBEY ALL LAWS.

J24148P MONTEREY CJIS ORGANIZATION: MC

--- CRIMINAL CASE DOCKET --CASE NO. MS120309A

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SALINAS DIVISION

RECORD OF CASE EVENTS:

07021993 - CONTINUED

VOLUNTARILY SUBMIT TO A SEARCH OF YOUR PERSON, VEHICLE OR RESIDENCE AT THE REQUEST OF ANY PEACE OFFICER OR PROBATION OFFICER, DAY OR NIGHT WITH OR WITHOUT THE NECESSITY OF A SEARCH WARRANT WITH OR WITHOUT PROBABLE CAUSE FOR N/A.

DEFENDANT ACCEPTS PROBATION.

ALL SENTENCE ELEMENTS FOR THIS PROCEEDING ENTERED.

07301993 10:30 ** OUT OF COURT ENTRIES BY CLERK; ANGELICA MARTINEZ AT 10:30 A.M..

COPY OF DOCKET ISSUED TO DEFT, FEE APPLIED IN THE AMOUNT OF \$3.50 RECEIPT NUMBER 1561A001.

04041997 09:42 ** OUT OF COURT ENTRIES BY CLERK; ANTHONY FUSCO AT 9:42 A.M..

CERTIFIED COPY OF DOCKET ISSUED TO STATE OF CA DIVISION OF INVESTIGATION, FEES EXEMPT PURSUANT TO GC 6103.



THEREBY CERTIFY THAT THE FCREGOING DOCUMENT IS A TRUE COPY OF THE ORIGINAL ON FILE IN MY OFFICE.

DATE 11403

SHEARI L. PEDERSEN, CLERK OF THE SUPERIOR COURT

Original Signatures on file at the OBCE Office

ENTF ED

JUI: 2 1993

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DEAN D. FLIPPO District Attorney Monterey County DOUGLAS MATHESON Deputy District Attorney

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ERNEST A. MAGGINI MONTEREY COUNTY CLERK androck

ERNEST A. MAGGINI MONTEREY COUNTY CLERK Inductor Ma DEPUTY

Consumer/Environmental Protection Division P.O. Box 1369

Salinas, CA 93902 (408) 755-5070

Attorneys for Plaintiff

SUPERIOR COURT OF THE STATE OF CALIFORNIA

FOR THE COUNTY OF MONTEREY

PEOPLE OF THE STATE OF CALIFORNIA,

CASE NO.:

Plaintiff,

STIPULATED FINAL JUDGMENT

vs.

PENINSULA HEALTH CARE GROUP, a California corporation, dba CYPRESS MEDICAL GROUP,

Defendant.

Plaintiff PEOPLE OF THE STATE OF CALIFORNIA, appearing through its attorneys, Dean D. Flippo, District Attorney of the County of Monterey, California, by Douglas Matheson, Deputy District Attorney, and Defendant PENINSULA HEALTH CARE GROUP, doing business as CYPRESS MEDICAL GROUP, appearing through its attorneys, Spiering, Swartz & Kennedy, by Andrew H. Swartz;

Pursuant to stipulation for entry of Final Judgment signed by counsel for both parties, whereby Plaintiff and Defendant consent to the entry of this Stipulated Final Judgment, without the taking of evidence, and without trial or adjudication of any facts herein, without this Judgment

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> MONTEREY COUNTY-DISTRICT ATTORNEY

constituting any evidence, findings or admission by Defendant as to any issue of fact or law, including any matters or disputes that Defendant, or its officers or employees may have with the State Board of Chiropractors, and without this judgment having any legal or equitable affect or constitute an admission by Defendant, or any of its officers or employees as to any matter, including any matter before the State Board of Chiropractors.

Defendant PENINSULA HEALTH CARE GROUP, doing business as CYPRESS MEDICAL GROUP, having denied all allegations of wrongdoing, including all such allegations of the Complaint, and

The Court having read and considered this matter, and good cause appearing,

IT IS HEREBY ORDERED, ADJUDGED AND DECREED THAT:

1. Defendant PENINSULA HEALTH CARE GROUP, doing business as CYPRESS MEDICAL GROUP, shall pay to the County of Monterey, through Dean D. Flippo, District Attorney of the County of Monterey, simultaneously herewith, the sum of ONE HUNDRED THOUSAND DOLLARS AND NO/100 (\$100,000.00), as civil penalties pursuant to Business and Professions Code Sections 17206 and 17536 and further that this judgment shall not constitute an admission of liability by the Defendant or any officer, director or employee thereof.

DATED: 7-2, 1993

JUL % 1993

Original Signatures on file at the OBCE Office

JUDGE OF THE SUPERIOR COURT
RICHARD M. SILVER

People vs. Peninsula Health Care Group, Cypress Medical Group, Case No. 07007

EREY COUNTY RICT ATTORNE A13

ORIGINA

Attachment 2 incorporated by reference

DANIEL E. LUNGREN, Attorney General of the State of California CHRISTIANA TIEDEMANN, Deputy Attorney General 50 Fremont Street, Room 300 San Francisco, CA 94105 Telephone (415) 356-6346 - 4 Attorneys for Complainant 5 Ġ BEFORE THE 7 BOARD OF CHIROPRACTIC EXAMINERS 8 STATE OF CALIFORNIA 9 10 11 No. 98-19In the Matter of the Accusation Against: 12 ACCUSATION DONALD LEE HAYES 13 17445 Sierra Way Monument, Colorado 80132 14 License No. 11923 15 Respondent. 16 Complainant, M. Elizabeth Ware, charges and alleges as 17 follows: 18 She is the Executive Director of the Board of 19 Chiropractic Examiners, State of California (hereinafter "the 20 Board") and makes and files this accusation solely in her 21 official capacity. 22 Respondent Donald Lee Hayes (hereinafter "respondent") 23 was issued License No. 11923 in 1978. At all times herein 24 mentioned respondent's license has been in full force and effect. 25 26

EXHIBIT A

1.

RELEVANT STATUTES AND REGULATIONS

and Professions Code states, in pertinent part, that the Board may, by rule or regulation, adopt rules of professional conduct appropriate to the establishment and maintenance of a high standard of professional service and protection of the public and that the Board may take disciplinary action against a licensee for a violation of the rules and regulations adopted by the Board or for any cause specified in the Chiropractic Act.

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- 4. Title 16, California Code of Regulations, section 317 constitutes part of the rules and regulations of the Board, and, in pertinent part, states that the Board shall take action against any holder of a license who is guilty of unprofessional conduct. Unprofessional conduct is defined therein to include, but not to be limited to:
 - (g) Conviction of a crime which is substantially related to the qualifications, functions or duties of a chiropractor.
 - 5. Section 490 of the Business and Professions Code states, in pertinent part, that a board may suspend or revoke a license on the ground that the licensee has been convicted of a crime, if the crime is substantially related to the qualifications, the crime of the business or profession for which the license was issued.
 - 6. Title 16, California Code of Regulations, section 317.5 constitutes part of the rules and regulations of the Board, and, in pertinent part, states that in any order in resolution of a disciplinary proceeding before the Board of Chiropractic

Examiners, the board may request the administrative law judge direct a licentiate found to have committed a violation or violations of the Chiropractic Initiative Act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

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FIRST CAUSE FOR DISCIPLINARY ACTION

- 7. Respondent is subject to disciplinary action pursuant to Title 16, California Code of Regulations section 317(g) and Business and Professions Code sections 490 and 1000-10 in that he has been convicted of a misdemeanor offense which is substantially related to the qualifications, functions and duties of a chiropractor as follows:
- A. On July 2, 1993, respondent entered a plea of nolo contendere in the Monterey County Municipal Court to one misdemeanor violation of Business and Professions Code section 1000-15 (use of the designation "Dr." without additional use of "D.C.")
- C. On July 2, 1993 respondent was placed on three years of conditional probation pursuant to the no contest pleas set forth above with the usual and standard conditions of probation.
- D. The underlying circumstances of respondent's conviction are as follows: Respondent owned and operated a clinic known as Peninsula Health Care Group in Monterey County California. Respondent referred to himself in literature and advertising for the Clinic as "Dr. Hayes" without disclosing to patients and prospective patients that he was not a medical

doctor and was instead a chiropractor, as required by law.

WHEREFORE, complainant prays that the Board hold a hearing on the matters alleged herein and following said hearing issue a decision suspending or revoking the chiropractic license issued to Donald Lee Hayes, awarding costs of investigation and prosecution pursuant to Title 16, California Code of Regulations section 317.5, and taking such other and further action as the Board deems proper.

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Dated: actober 6, 1997

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Original Signatures on file at the OBCE Office

M. ELIZABETH WARE, Executive Director Board of Chiropractic Examiners State of California Complainant

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DANIEL E. LUNGREN, Attorney General 1 of the State of California 2 CHRISTIANA TIEDEMANN, Deputy Attorney General 3 50 Fremont Street, Suite 300 San Francisco, CA 94105 Telephone (415) 356-6346 4 Attorneys for Complainant 6 7 ..8 9 10 STATE OF CALIFORNIA 11 12 13 14

CHILLOPARCTIC EXAMINERS OREGON BOARD OF AUG 2 9 2003

BEFORE THE

BOARD OF CHIROPRACTIC EXAMINERS

In the Matter of the Accusation Against:

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DONALD LEE HAYES 17445 Sierra Way Monument, Colorado 80132 License No. 11923

Respondent.

No. 98-19

PROPOSED DECISION PURSUANT TO STIPULATION

IT IS HEREBY STIPULATED by and between Donald Lee Hayes (hereinafter "respondent"), by and through his attorney of record, Andrew H. Swartz, Spiering, Swartz & Kennedy, and the Board of Chiropractic Examiners of the State of California, by and through Christiana Tiedemann, Deputy Attorney General, as follows:

That respondent has received and read the accusation which is presently on file and pending in case No. 98-19 before the Board of Chiropractic Examiners of the State of California.

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- 2. That respondent is fully aware of his right to a hearing on the charges and allegations contained in the accusation, his right to reconsideration, to appeal and to any and all other rights which may be accorded him pursuant to the California Administrative Procedure Act, and he hereby fully and voluntarily waives his right to a hearing, to reconsideration, to appeal and to any and all other rights which may be accorded him pursuant to the California Administrative Procedure Act with regard to Accusation No. 98-19.
- 3. That M. Elizabeth Ware, complainant in this case, as Executive Officer of the Board of Chiropractic Examiners, State of California, made and filed the accusation in her official capacity as such and not otherwise.
- 4. That respondent admits the truth of the allegations of paragraph 7 of the accusation and that he is subject to disciplinary action by the Board pursuant to Title 16, California Code of Regulations, section 317(g) and Business and Professions Code section 490 and 1000-10 as a result of being convicted of a criminal offense substantially related to the qualifications, functions and duties of his chiropractic license.
- 5. That in order to avoid the expense and time of an administrative hearing in this matter, respondent wishes to surrender chiropractic license number 11923.
- 6. That based on the foregoing recitals, IT IS HEREBY

 STIPULATED AND AGREED that the Board of Chiropractic Examiners

 may issue the following order:

- (a) On the effective date of this decision, responsurrender his chiropractic license (license number 11923) to Board. Respondent shall relinquish his wall license and pocket renewal license to the Board or its designee within ten (10) days of the effective date of this decision.
- (b) Respondent may not apply for a California chiropractic license for two (2) years from the effective date of this decision.
- (b) Should respondent apply to the Board for re-licensure on or after two years from the effective date of this decision, respondent must meet all current requirements for licensure at that time, including but not limited to filing a current application and taking and passing the California chiropractic law exam prior to the issuance of a new license. Respondent shall not be required to re-take the national chiropractic exam, which he has passed, as condition of re-licensure in California.
- (c) In consideration of respondent's surrender of his license without the necessity of an administrative hearing, the Board agrees to waive reimbursement of the costs of prosecution and investigation authorized under Title 16, California Code of Regulations section 317.5.
- (d) The Board of Chiropractic Examiners, by its adoption of this stipulation, accepts the surrender of respondent's chiropractic license and agrees, based on such surrender and the terms and conditions set forth in this stipulation, that it will not further prosecute the accusation on file against respondent.

IT IS FURTHER STIPULATED AND AGREED that the terms set forth

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| 46 40 | . 1 | herein shall be null and void, and in no way binding upon the |
| | 2 | parties hereto, unless and until accepted by the Board of |
| ; A. | 3 | Chiropractic Examiners of the State of California. |
| | 4 | Dated: 5/4/98 DANIEL E. LUNGREN |
| | 5 | Original Signatures on file By at the OBCE Office |
| ٠.،، | 6 | CHRISTIANA TIEDEMANN |
| ٠.٠ | 7 | Deputy Attorney General |
| | 8 | Dated: 4/19/18 SPIERZNG, SWARTZ 6/KENNEWA |
| | 9 | Original Signatures on file at the OBCE Office |
| <i>:</i> | 10 | ANDREW H. SWARTZ Attorney for Respondent |
| | 11 | |
| | 12 | I hereby certify that I have read this stipulation and |
| | 13 | agreement in its entirety, that my attorney has fully explained |
| | 14. | the legal significance and consequences thereof, and that I fully |
| , . | 15 | understand all of the same |
| | 16 | Original Signatures on file Dated: 4/78/98 at the OBCE Office |
| • | 17 | DONALD LEE HAYES / Respondent |
| | 18 | ORDER |
| - | 19 | |
| | 20 | The foregoing Proposed Decision Pursuant to Stipulation is |
| | 21 | adopted as the Decision of the Board of Chiropractic Examiners of |
| : | 22 | the State of California. This Decision shall become effective on |
| | 23 | <u>July 11</u> , 1998. |
| | 24 | IT IS SO ORDERED this 11 day of June , 1998. |
| | 25 | BOARD OF CHIROPRACTIC EXAMINERS |
| | 26 | STATE OF CALIFORNIA |
| | 27 | By Mus Foreman V.C. Board President |
| | | DOWLY LIEBIACHO |